

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter B. Madoff et al. Art Unit : 3621
Serial No. : 09/272,542 Examiner : Hewitt, Calvin
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Title : AUCTION MARKET WITH PRICE IMPROVEMENT MECHANISM

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form.
Copies of the references are enclosed.

This statement is being filed with an RCE. This statement makes of record materials that were published by the SEC as part of "Market 2000 : An Examination of Current Equity Market Developments." These documents are Appendix IV and documents referred to in footnotes to that Appendix.

Please apply any required fees to deposit account 06-1050, referencing the attorney docket number shown above.

Respectfully submitted,

Date December 2, 2008

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I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: December 02, 2008